

1743

PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/839,327
	Filing Date	August 24, 2001
	First Named Inventor	Mingqi ZHAO
	Group Art Unit	1743
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	10+ 5 REFS	Attorney Docket No. 427922000920

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ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form PTO-1449 + copy - 2 pages
<input checked="" type="checkbox"/> Information Disclosure Statement, Supplemental - 3 pages	<input type="checkbox"/> Request for Refund	2. PCT International Search Report - 4 pages
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Five (5) references
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	4. Return postcard
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison and Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304 Richard R. Batt (Registration No. 43,485)
Signature	
Date	October 16, 2002

<b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b>
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 16, 2002.
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PATENT  
Docket No. 427922000920  
Client Ref. ACBI.072.01US

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*Carolyn McKubre*  
Carolyn McKubre

#10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Mingqi ZHAO et al.

Serial No.: 09/939,327

Filing Date: August 24, 2001

For: MICROFLUIDIC CHIP HAVING  
INTEGRATED ELECTRONICS

Examiner: To Be Assigned

Group Art Unit: 1743

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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an International Search Report (copy attached), dated September 18, 2002, directed to a counterpart international application. Please note that document numbered 2 on the International Search Report was previously submitted in the Information Disclosure Statement filed on July 15, 2002, for the present application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

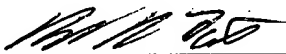
The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been

made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **427922000920**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 16, 2002

Respectfully submitted,

By:   
Richard R. Batt  
Registration No. 43,485

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